

# IT Audit 101

Webinar • September 16, 2010, 1:30 -3:30 CT



Regulatory requirements and best practices both say you need to have an audit performed annually commensurate with the risk level of the function. Whether you have been tasked with performing the audit, or you just want to be prepared, join us for this high level IT Audit 101 webinar.

You might have thought the regulators lost interest in your IT operations and data security (or maybe that's just wishful thinking) but think again. IT and general security controls are alive and well. Even if your exam was scaled back, your auditors will continue to audit the function. Regulatory requirements and best practices both say you need to have an audit performed annually commensurate with the risk level of the function. Also, did you know a regulatory exam doesn't count towards your audit requirement? The audit is in addition to a Federal or State examination. Good news is you can perform the audit internally or you can outsource it to someone with experience and knowledge, or maybe a combination of both. Bottom-line, you need to make sure you have a strong audit program in place. Word on the street is, examiners will often rely on the audit if it is comprehensive, which means they may spend less time in the bank.

Regardless of whether you have been tasked with performing the audit, or you just want to be prepared, join us for this high level Audit 101 webinar.

## Highlights

- Overview of the audit program
  - Responsibilities
  - Format
  - Report
- Key areas of focus
  - Operations
  - Controls and policies
  - Testing
  - Training

## Audience

Internal auditors, compliance officers, risk managers, senior management, operations officers, IT management.

CE Applied: 2.5 hrs. CRCM/CFSSP w/the Institute of Certified Bankers

## Presenter

**Susan Orr, CISA, CISM, CRP**, is an industry expert with vast regulatory, risk management, and security knowledge. During her 14-years as bank examiner, Susan held numerous lead positions including Regional IT Examination Specialist, Special Assistant to the Regional Director, and Special Assistant to the Vice Chairman of the FDIC. Susan was lead instructor for the FDIC's technology school. She currently consults for security providers and performs IT security/regulatory reviews for financial institutions.

## What is a Webinar?

A webinar is an online seminar featuring live audio and PowerPoint slides. **Everything is delivered through your PC.** No special hardware is needed; however, speakers or headphones are required. The program consists of 90 minutes instruction and 30 minutes live

Q&A. Each webinar registration provides 1 connection to the live webinar, written materials and access to the On-Demand Webinar for 30 days following the broadcast. You may have unlimited listeners on your connection by PC. You will receive a PIN, written materials and instructions prior to the seminar. If you do not receive a confirmation at least 2 days prior to the event call 888-262-7701.

An **On-Demand Webinar** is a live webinar that has been recorded and then streamed to your computer to watch at your convenience. The program can be viewed any time 24/7. You can watch a portion of the program, come back and pick up where you left off! You may also review the program at will if you need a refresher. Anyone at your branch may access the program from a computer using the login and password. When you purchase an On-Demand Webinar, you have unlimited access to the program for 6 months from date of purchase.

Transmission, retransmission or republishing of the seminar is prohibited. Your registration entitles you to 1 connection at 1 location with permission to copy materials for participants. Complete one registration per location.

### Registration Information and Form

SW2-1294

### IT Audit 101

September 16, 2010

Webinar/materials (live webinar)  \$250

On-Demand Webinar/materials\*  \$270

\*Unlimited online access to a copy of the webinar for 6 months from purchase date

We cannot guarantee registration for incomplete and/or illegible registration forms received. Please complete the form and type or write carefully.

Name \_\_\_\_\_ Title \_\_\_\_\_

Bank/Company \_\_\_\_\_

Mailing Address \_\_\_\_\_

City/State/Zip \_\_\_\_\_

Phone/Fax \_\_\_\_\_

E-mail \_\_\_\_\_

### Preferred Payment Method: Online or e-Check

Payment Must Accompany Registration - Invoices are Not Provided

### Three Ways to Register

**Online:** Visit [ndba.com](http://ndba.com) or [bankersed.com/ndbankers/telephone.asp](http://bankersed.com/ndbankers/telephone.asp).

**Mail:** Mail completed form with check to Bankers Ed, 5700 S. Mopac, #C310, Austin, TX 78749 **10 days prior** to the event

**Phone:** Call Bankers Ed at 888-262-7701

**Late Registration:** Please register online when registering **2 days prior** to the event (credit cards & e-Checks accepted). Call 888-262-7701 for assistance.

### Method of Payment (check one):

Check payable to Bankers Ed (must be accompanied by registration form)

Discover Card  MasterCard  VISA  AMEX

Card Number \_\_\_\_\_ Security Code \_\_\_\_\_

Signature \_\_\_\_\_ Exp. Date \_\_\_\_\_

**Seminar Content Questions: Contact NDBA Professional Development at (701) 223-5303. Please route this brochure.**